

## Electronic Acknowledgement Receipt

EFS ID:	1199207
Application Number:	10826877
Confirmation Number:	1437
Title of Invention:	Method and system for automated electronic trading in financial matters
First Named Inventor:	John Van Horst
Customer Number:	7278
Filer:	Jay Philip Lessler/Lillian Garcia
Filer Authorized By:	Jay Philip Lessler
Attorney Docket Number:	04362/100M494-US1
Receipt Date:	13-SEP-2006
Filing Date:	16-APR-2004
Time Stamp:	15:43:23
Application Type:	Utility
International Application Number:	

### Payment information:

Submitted with Payment	no
------------------------	----

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part	Pages
1	Information Disclosure Statement (IDS) Filed	00850718.PDF	74922	no	5

**Warnings:****Information:**

This is not an USPTO supplied IDS fillable form

The page size in the PDF is too large. The pages should be 8.5 x 11 or A4. If this PDF is submitted, the pages will be resized upon entry into the Image File Wrapper and may affect subsequent processing

2

NPL Documents

00850726.PDF

84852

no

2

**Warnings:**

The page size in the PDF is too large. The pages should be 8.5 x 11 or A4. If this PDF is submitted, the pages will be resized upon entry into the Image File Wrapper and may affect subsequent processing

**Information:**

Total Files Size (in bytes):

159774

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111.**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.